

Condivisione e riutilizzo dei dati nella ricerca clinica e sanitaria

Rita Banzi

Istituto di Ricerche Farmacologiche Mario Negri IRCCS



18 Novembre 2025

GenOA week 2025 Who Owns Our Knowledge?

Dichiarazione conflitti di interesse

- Nessun conflitto di interesse economico-finanziario rispetto ai contenuti della presentazione
 - *Progetto OSIRIS Open Science to Increase Reproducibility in Science*
 - *Progetto eCREAM enabling Clinical Research in Emergency and Acute care Medicine*
 - *Progetto EATRIS CONNECT digital transformation to accelerate translational medicine*

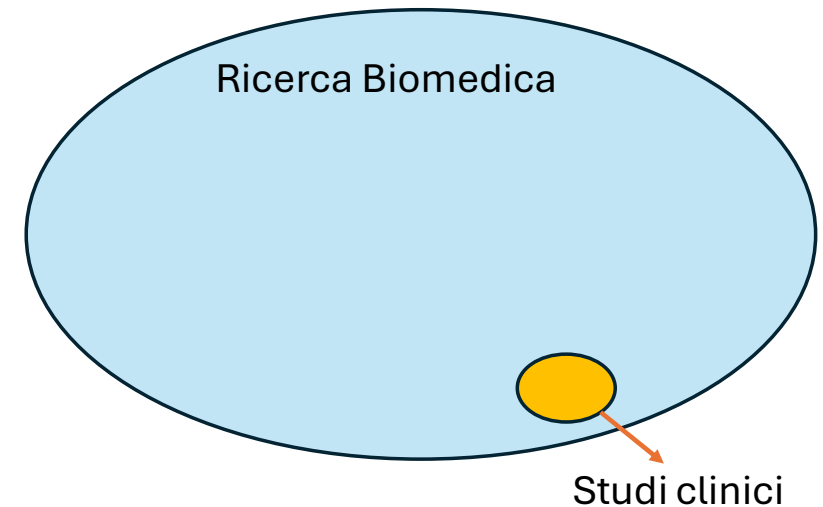
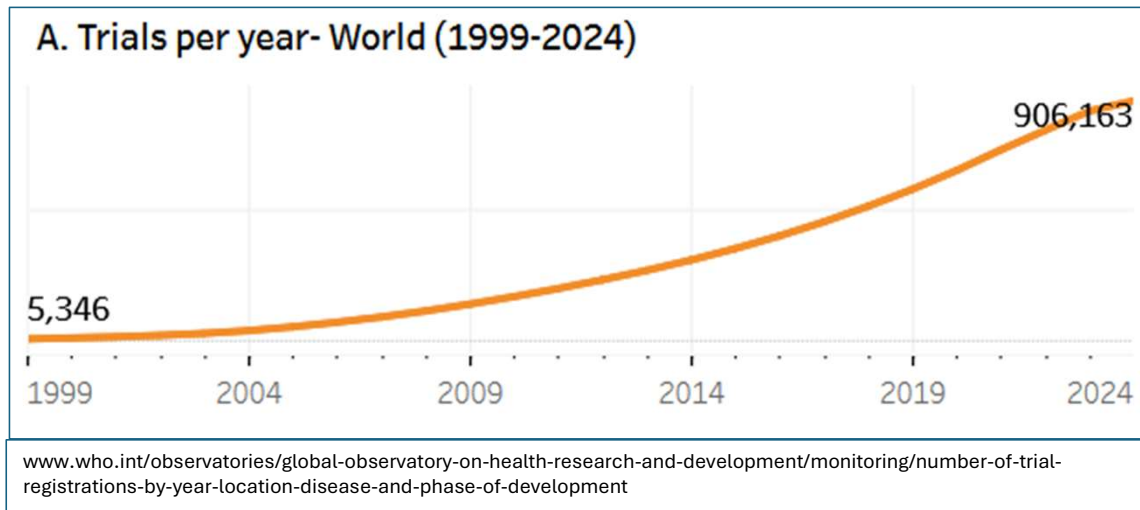
- Moltissimi di tipo intellettuale
 - *Penso che la scienza sia un bene comune e che la ricerca scientifica che informa le decisioni sanitarie debba essere trasparente e aperta in tutte le sue fasi, dall'ideazione alla disseminazione dei risultati*

Outline

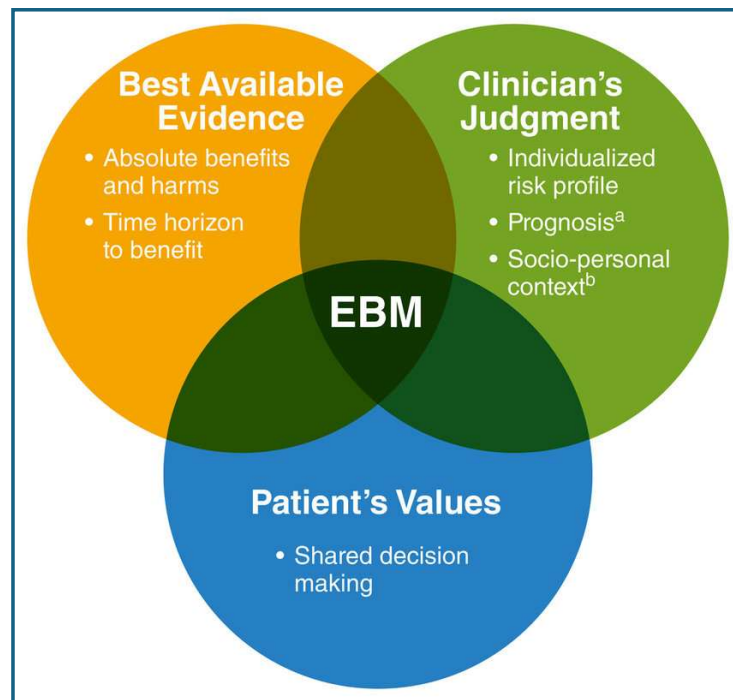
1. La condivisione dei dati (individuali) degli studi clinici
2. Riutilizzo dei dati sanitari per scopi di ricerca

Perché parlare di condivisione dati negli studi clinici

Gli studi clinici sono «esperimenti» medici che coinvolgono persone e hanno lo scopo di stabilire se un intervento sanitario (farmaco, dispositivo, procedura diagnostica, tecnica chirurgica, ecc.) funziona ed è migliore rispetto ad alternative già disponibili



Perché parlare di condivisione dati negli studi clinici



EBM: Evidence-based medicine

Più in generale «evidence-informed decision making»



Il contesto: tre livelli di informazione

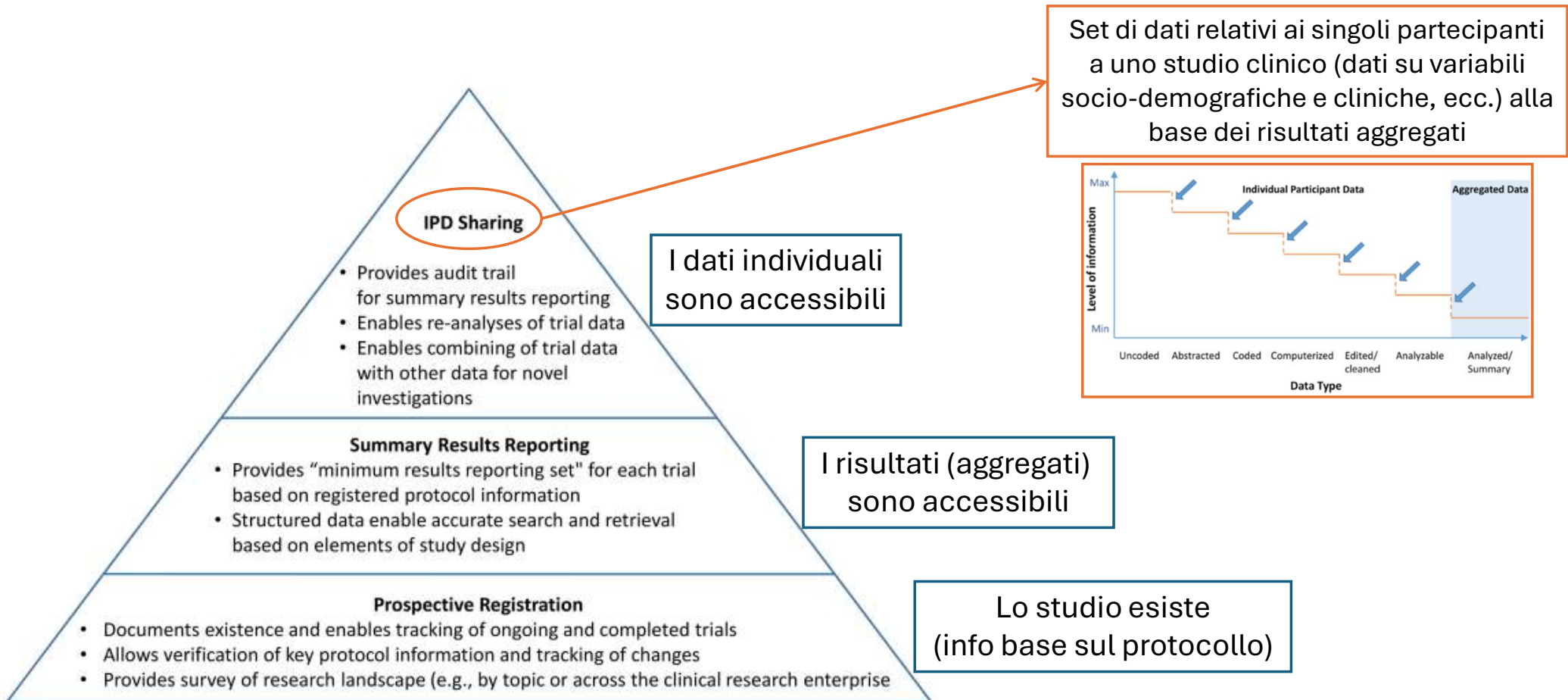


Fig 2. Schematic depicting the functions of the three key components of the TRS.

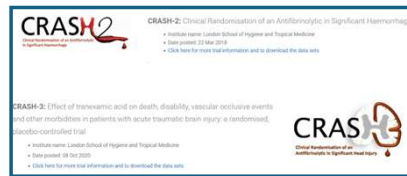
Condivisione e riuso dati individuali degli studi clinici

Vantaggi e opportunità

- Trasparenza e fiducia nella ricerca
- Analisi indipendenti dei risultati originali
- Analisi dei data set per esplorare ipotesi secondarie o alternative
- Supporto alla definizione di nuove ipotesi di ricerca
- Sviluppo e validazione di approcci statistici
- Contestualizzazione dei risultati (meta-analisi di dati individuali)
- Stimolo alla collaborazione
- Maggiore garanzie di qualità dei dati
- Riduzione reporting bias

Ostacoli e preoccupazioni

- Di chi sono i dati?
- Ri-uso improprio
- Interpretazione scorretta dei dati ("data-dredging", analisi multiple)
- Preparazione dataset, archiviazione e mantenimento
- Protezione proprietà intellettuale
- Divulgazione informazioni commercialmente sensibili
- Rispetto privacy e rischio di re-identificazione
- Limiti del consenso informato



CRASH_data-1.csv
Data_Dictionary_CRASH_data.docx
Data_Dictionary_CRASH_data1.pdf

Trial website:
<http://www.crash.lshtm.ac.uk>
Contact email:
CTU@lshtm.ac.uk
Contact phone:
+44(0)20 7299 4684

CRASH trial collaborators. Effect of intravenous corticosteroids on death within 14 days in 10000 adults with clinically significant head injury (MRC CRASH trial): randomised placebo-controlled trial. *Lancet* 2004; 364: 1321-28

CRASH trial collaborators. Final results of MRC CRASH, a randomised placebo-controlled trial of intravenous corticosteroid in adults with head injury - outcomes at 6 months. *Lancet* May 2005 published on-line DOI:10.1016/S0140-6736(05)66552-X

L'approccio collaborativo...

L'approccio delle piattaforme (e dell'intermediario «neutrale»)...



L'approccio archivistico...

L'approccio «naive»...

Andrew J Vickers, Rebecca W Rees, Catherine E Zollman, Rob McCarney, Claire Smith, Nadia Ellis, Peter Fisher, Robbert Van Haselen

Objective To determine the effects of a policy of "use acupuncture" on headache, health status, days off sick, and use of resources in patients with chronic headache compared with a policy of "avoid acupuncture."

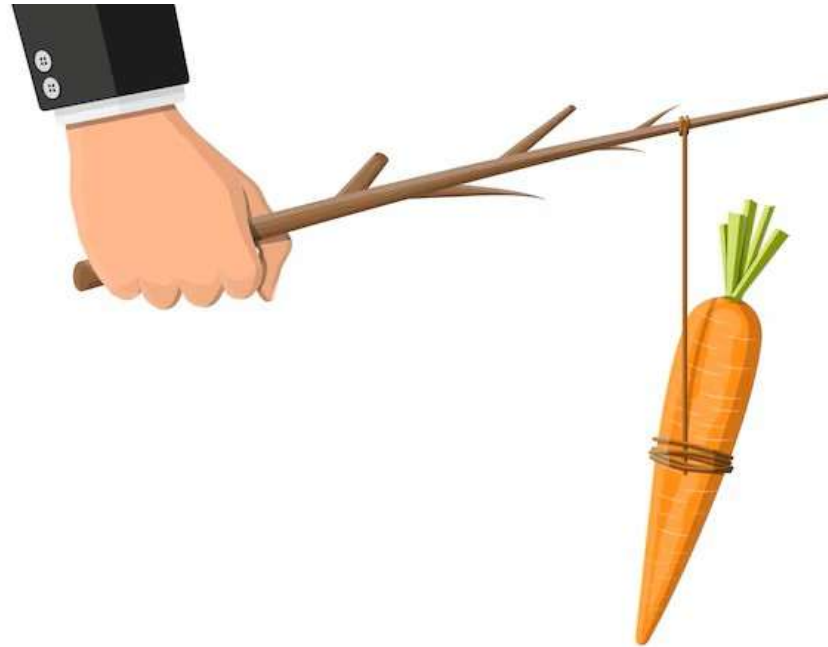
A recent Cochrane review of 26 randomised trials of acupuncture for headache concluded that, although existing evidence supports the value of acupuncture, the quality and amount of evidence are not fully convincing.⁷ The review identifies an urgent need for well planned, large scale studies to assess the effectiveness and cost effectiveness of acupuncture under 'real' conditions. In 1998 the NHS National Coordinating Centre for Health Technology Assessment commissioned us to conduct such a trial (trial number ISRCTN69537534). Our aim was to assess the effectiveness and cost effectiveness of acupuncture

B13		A headache frequency pack 2																									
Variable name		Variable description													General terminological note												
1	age	Patient ID code													Patients are sent for "packs"												
2	age																										
3	age	Migrate													Pack one Baseline headache and medication diary, SP36												
4	negative	Onset frequency													Pack two "Six months' headache and medication diary, SP36 and resource use"												
5	acupuncture	Acupuncture ID code													Pack three Six months' resource use												
6	practice id	GP practice id													Pack four Six months' resource use												
7	group	D is control, 1 is acupuncture													The variables are therefore coded 1 - 5												
8	med	sawley case pack 1 (baseline)													This depends on the pack for which the data was derived												
9	med	sawley case pack 2 (posttreatment)													For example, "gen1" a general wash SP36 in pack 1 is Baseline scan												
10	med	sawley case pack 3 (one line followup)													"gen1" the average SP36 score for general health												
11	med	headache frequency pack 1 (baseline)																									
12	med	headache frequency pack 2																									
13	med	headache frequency pack 3																									
14	pf1	Pack 1 (baseline) SP36 physical functioning																									
15	pf1	Pack 1 (baseline) SP36 role limitation physical																									
16	pf1	Pack 1 (baseline) SP36 role limitation emotional																									
17	pf1	Pack 1 (baseline) SP36 energy/fatigue																									
18	pf1	Pack 1 (baseline) SP36 emotional well being																									
19	pf1	Pack 1 (baseline) SP36 social functioning																									
20	pf1	Pack 1 (baseline) SP36 general health																									
21	pf1	Pack 2 (2/36 line limitation physical)																									
22	pf1	Pack 2 (2/36 line limitation physical)																									
23	pf1	Pack 2 (2/36 line limitation emotional)																									
24	pf1	Pack 2 (2/36 line limitation emotional)																									
25	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
26	pf1	Pack 2 (2/36 line limitation emotional)																									
27	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
28	pf1	Pack 2 (2/36 line limitation emotional)																									
29	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
30	pf1	Pack 2 (2/36 line limitation emotional)																									
31	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
32	pf1	Pack 2 (2/36 line limitation emotional)																									
33	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
34	pf1	Pack 2 (2/36 line limitation emotional)																									
35	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
36	pf1	Pack 2 (2/36 line limitation emotional)																									
37	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
38	pf1	Pack 2 (2/36 line limitation emotional)																									
39	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
40	pf1	Pack 2 (2/36 line limitation emotional)																									
41	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
42	pf1	Pack 2 (2/36 line limitation emotional)																									
43	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
44	pf1	Pack 2 (2/36 line limitation emotional)																									
45	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
46	pf1	Pack 2 (2/36 line limitation emotional)																									
47	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
48	pf1	Pack 2 (2/36 line limitation emotional)																									
49	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
50	pf1	Pack 2 (2/36 line limitation emotional)																									
51	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
52	pf1	Pack 2 (2/36 line limitation emotional)																									
53	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
54	pf1	Pack 2 (2/36 line limitation emotional)																									
55	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
56	pf1	Pack 2 (2/36 line limitation emotional)																									
57	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
58	pf1	Pack 2 (2/36 line limitation emotional)																									
59	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
60	pf1	Pack 2 (2/36 line limitation emotional)																									
61	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
62	pf1	Pack 2 (2/36 line limitation emotional)																									
63	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
64	pf1	Pack 2 (2/36 line limitation emotional)																									
65	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
66	pf1	Pack 2 (2/36 line limitation emotional)																									
67	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
68	pf1	Pack 2 (2/36 line limitation emotional)																									
69	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
70	pf1	Pack 2 (2/36 line limitation emotional)																									
71	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
72	pf1	Pack 2 (2/36 line limitation emotional)																									
73	pf1	Pack 2 (2/36 line limitation energy/fatigue)																									
74	pf1	Pack 2 (2/36 line limitation emotional)																									

Banzi Trials 2019

Vickers BMJ 2004

Clinical trial data sharing ecosystem



Finanziatori della ricerca

Core funders of medical research commit to strengthening clinical trials worldwide



World Health
Organization

Joint statement on strengthening clinical trials 2025

25 September 2025

III. Clinical trials that are supported to be conducted to meet best-practice standards, by including the following elements in trial policies or conditions of funding:

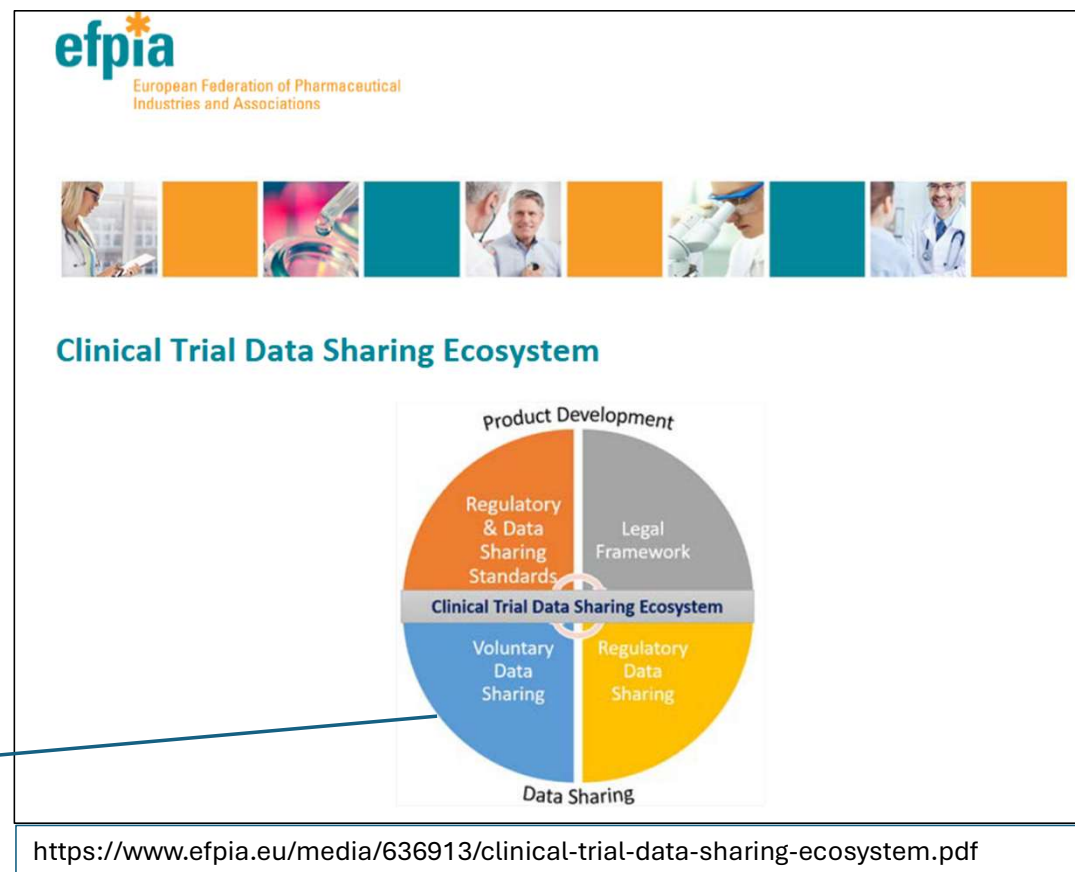
- clinical trials are conducted in line with the WHO Guidance for Best Practices for Clinical Trials;
- proportionate engagement of communities, to be conducted throughout the trial lifecycle, as an essential component of ethical trials;
- registration and update on trial progress in a publicly available, free to access, searchable, clinical trial registry complying with WHO's international agreed standards and updating of registries to include trial results, working towards a timeframe of 12 months from primary trial completion (in line with the WHO Joint Statement on the Public Disclosure of Results);
- encourage the use of standardized data protocols where available and Core Outcome Sets;
- open-access publication of clinical trial materials (such as trial protocols and statistical analysis plans) at the earliest opportunity, and preferably through trial registries;
- timely publication of results (working towards a timeframe of 12 months from primary study completion) including reporting of outcome and adverse event data disaggregated by sex/gender and age, preferably in an open access peer-reviewed journal, with a trial registration ID and data availability statement detailing how the data underlying the publication can be accessed;
- encourage researchers during a public health emergency to rapidly and responsibly share interpretable results, including negative results, with relevant authorities for clinical guideline development and emergency use listing; and
- encourage sharing of de-identified data (or meta-data where required), complying to international data standards, in a suitable repository with a persistent identifier.

Industrie

- Molte industrie farmaceutiche hanno policy per la condivisione di dati individuali da studi clinici
- Alcune analisi hanno evidenziato che circa la metà dei dataset di studi che supportano la commercializzazione di nuovi farmaci non sono accessibili
(definizione di fine studio, embargo, valutazione da parte di EMA e FDA, problemi con il consenso, ecc.)

Modi et al. BMC Medicine 2023

Voluntary!



Editori medico scientifici

ICMJE INTERNATIONAL COMMITTEE of
MEDICAL JOURNAL EDITORS

2. Data Sharing

The ICMJE's data sharing statement policy is detailed in an editorial (see [Updates and Editorials](#)).

1. As of 1 July 2018 manuscripts submitted to ICMJE journals that report the results of clinical trials must contain a data sharing statement as described below.
2. Clinical trials that begin enrolling participants on or after 1 January 2019 must include a data sharing plan in the trial's registration. The ICMJE's policy regarding trial registration is explained [above](#). If the data sharing plan changes after registration this should be reflected in the statement submitted and published with the manuscript, and updated in the registry record.

Data sharing statements must indicate the following: whether individual deidentified participant data (including data dictionaries) will be shared ("undecided" is not an acceptable answer); what data in particular will be shared; whether additional, related documents will be available (e.g., study protocol, statistical analysis plan, etc.); when the data will become available and for how long; by what access criteria data will be shared (including with whom, for what types of analyses, and by what mechanism). Illustrative examples of data sharing statements that would meet these requirements are provided in the Table.

www.icmje.org/recommendations/browse/publishing-and-editorial-issues/clinical-trial-registration.html

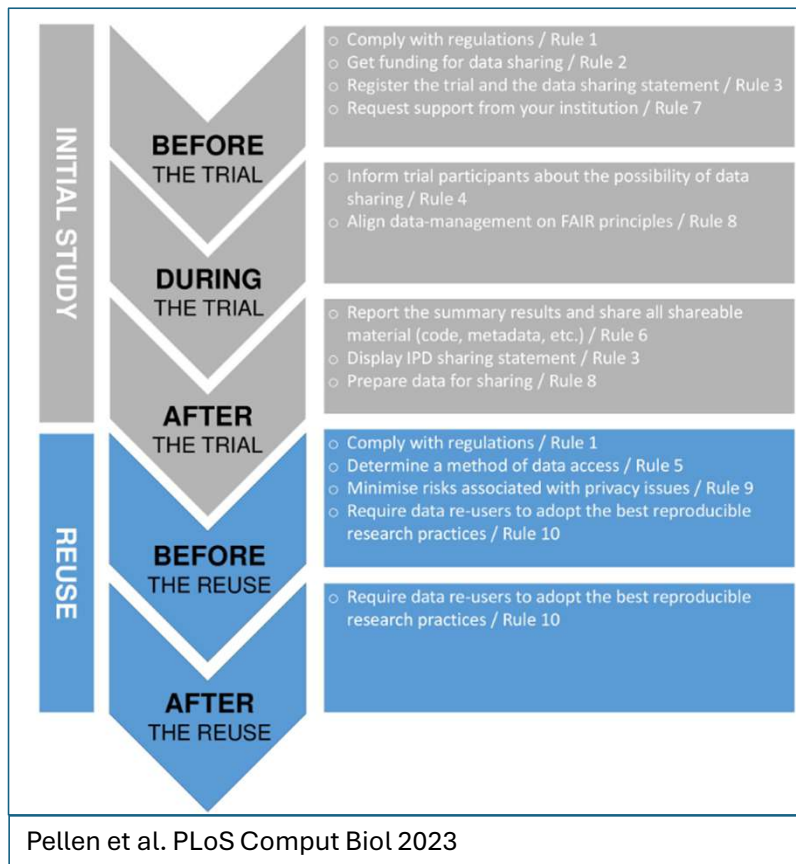
Taichman Annals Int Med 2017

Figure 3. Indicators of Declared and Actual Clinical Trial Individual-Participant Data (IPD) Availability as of April 10, 2020



Danchev Jama Network Open 2021

Ma come si fa?



BOX1

Opportunities for clinical trial data-sharing experts

1. Each clinical trial data-sharing platform needs experts for a complex, thorough and efficient review process. Experts will have their own specific field, such as medicine, biostatistics, interdisciplinary data science, intellectual property, privacy protection, and ethical, legal and social issues, but also need an overarching understanding of the disciplinary nature of this topic.
2. The peer review of research papers that come from clinical trial data sharing should reflect the interdisciplinary approach and complexity. Editors or editorial staff should boost clinical trial data sharing within their journals' activities. Requiring data and analysis scripts for reviews will initiate basic processes to share clinical trial data. Reproducibility editors can steer the verification of published results based on the data used.
3. Academic institutions, such as trial centers at academic hospitals, need well-trained staff, including data managers and data engineers. These staff can set up and contribute to clinical trial data-sharing activities, especially for anonymization requirements.
4. Research funders, as well as research management, in academia, industry, governments and regulatory bodies must be trained in the complex issues of clinical trial data sharing.
5. Future medical researchers, as well as data scientists in medicine, will need basic training on clinical trial data sharing so that they can conduct their own research using shared datasets.
6. Training in meta-research for academics, professional institutions and funders will maximize the impact of data sharing.

Mansmann Nature Medicine 2023

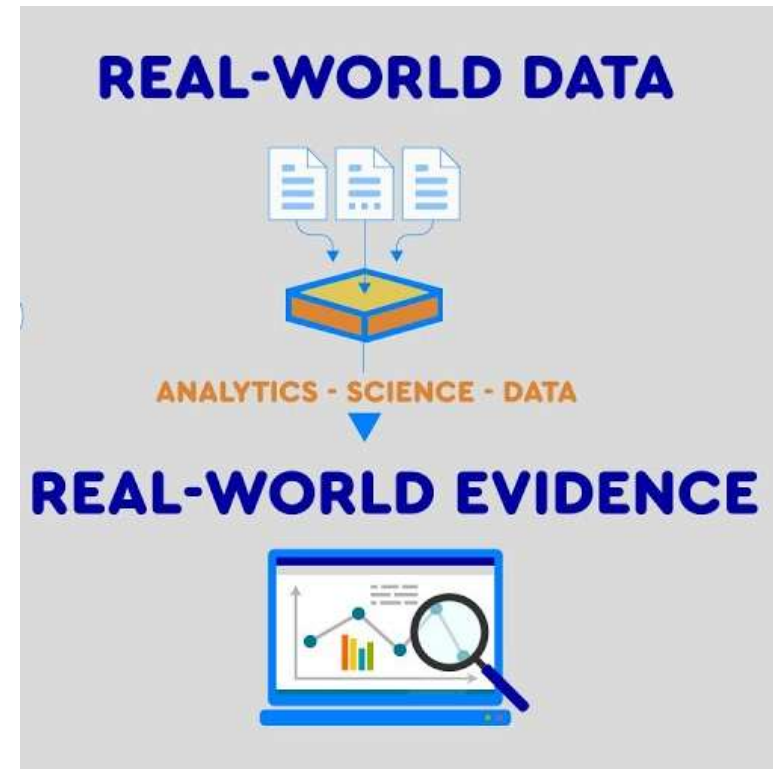
Outline

1. La condivisione dei dati (individuali) degli studi clinici
2. Riutilizzo dei dati sanitari per scopi di ricerca

Ricerca complementare agli studi clinici



insufficienti per guidare il processo decisionale poiché sono intrinsecamente incapaci di valutare l'impatto dei trattamenti nella «reale pratica clinica»



crescente interesse per lo sviluppo di metodi in grado di generare prove affidabili sull'impatto dei percorsi di cura usando dati raccolti nel «mondo reale»

10 novembre 2025



Ricerca in ambiti particolarmente difficili

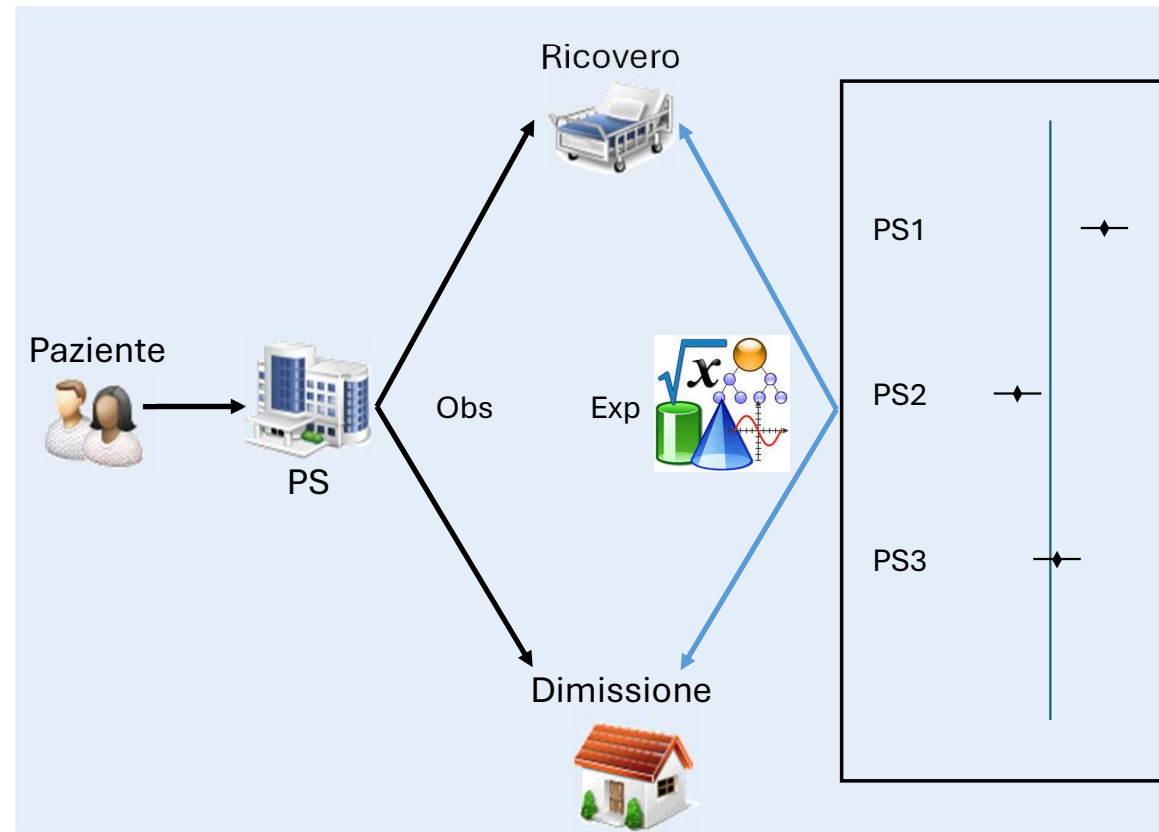
il caso dell'emergenza-urgenza

Studio osservazionale in pronto soccorso per raccogliere variabili cliniche o organizzative che determinano la propensione al ricovero

- tempo medio raccolta e gestione consenso **15 minuti**
- tempo medio compilazione scheda raccolta dati **20 minuti**
- tempo medio che i medici di PS dedicano a ciascun paziente è di **42 minuti** (attività clinica + amministrativa)



Fare questo studio significherebbe raddoppiare il tempo dedicato a ciascun paziente





ISTITUTO DI RICERCHE
FARMACOLOGICHE
MARIO NEGRI - IRCCS



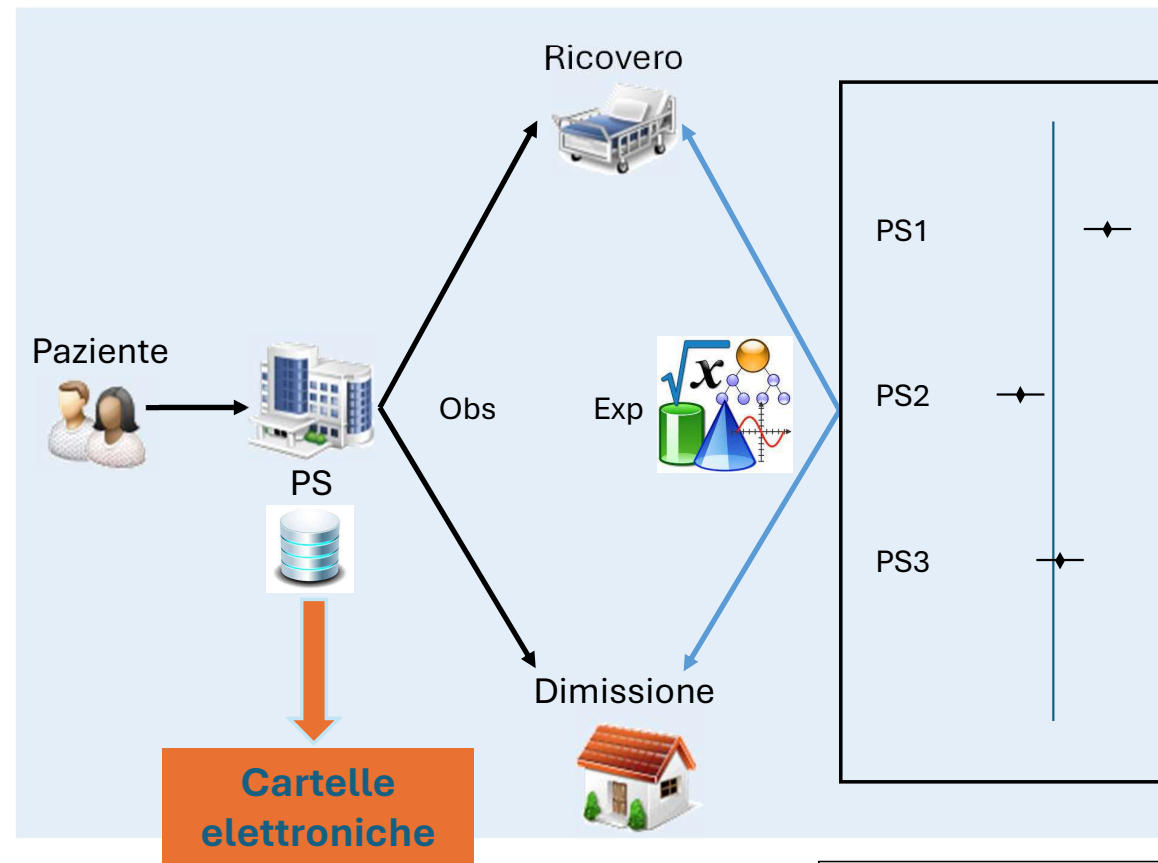
Ricerca in ambiti particolarmente difficili

il caso dell'emergenza-urgenza

I dati che ci servono li abbiamo già!

Dobbiamo «**solo**» cercare un modo per

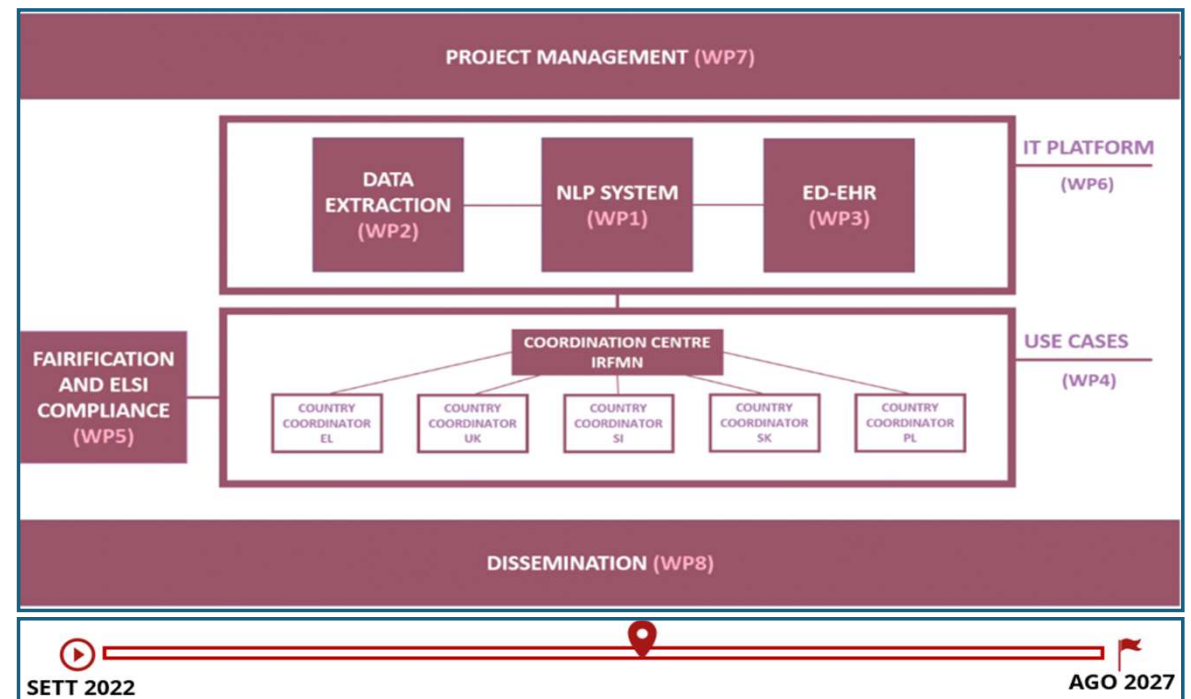
- Trovarli
- Capirli
- Utilizzarli nel rispetto delle normative
- Integrarli
- Renderli disponibili per la ricerca (nostra e di altri)



Progetto eCREAM

enabling Clinical Research in Emergency and Acute care Medicine

- Sviluppare nuove soluzioni tecniche per estrarre informazioni cliniche affidabili da dati strutturati e non strutturati contenuti in diverse cartelle cliniche elettroniche dei pazienti
- Testare l'utilizzo di questi dataset su case studies
- Rendere FAIR le banche dati create nel rispetto delle legislazioni europee e nazionali



Le sfide etico-legali per l'utilizzo di questi dati

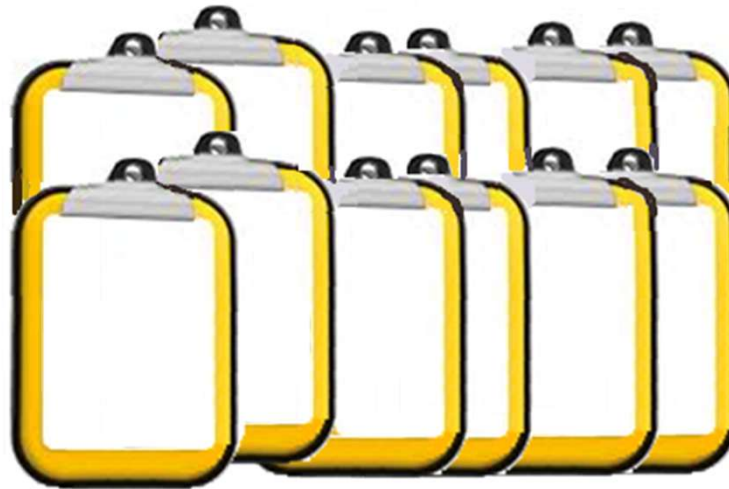
- Classificazione degli studi – metodologica vs legale/regolatoria
- Base legale per il trattamento dati (GDPR + regolamenti nazionali)
- Autorizzazioni regolatorie, comitati etici e autorità per la protezione dati
- Anonimizzazione dati
- Trasferimento dati tra paesi

Necessità di approfondire gli aspetti etici, legali, sociali e trovare soluzioni che preservino l'utilità del dato e siano rispettose delle normative

Un esempio: eCREAM NLP-DeVal

Sviluppo e validazione di un modello linguistico di grandi dimensioni per estrarre le informazioni dai testi liberi delle cartelle cliniche

- Estrazione di milioni di testi (non annotati), tra i 90000 e 300000 pazienti per PS
- Nessuna estrazione di altri dati identificativi di pazienti e PS, dati clinici
- Disaccoppiamento dei testi relativi allo stesso paziente (anamnesi, valutazione clinica, esami...)



Piccola parte di testi annotati

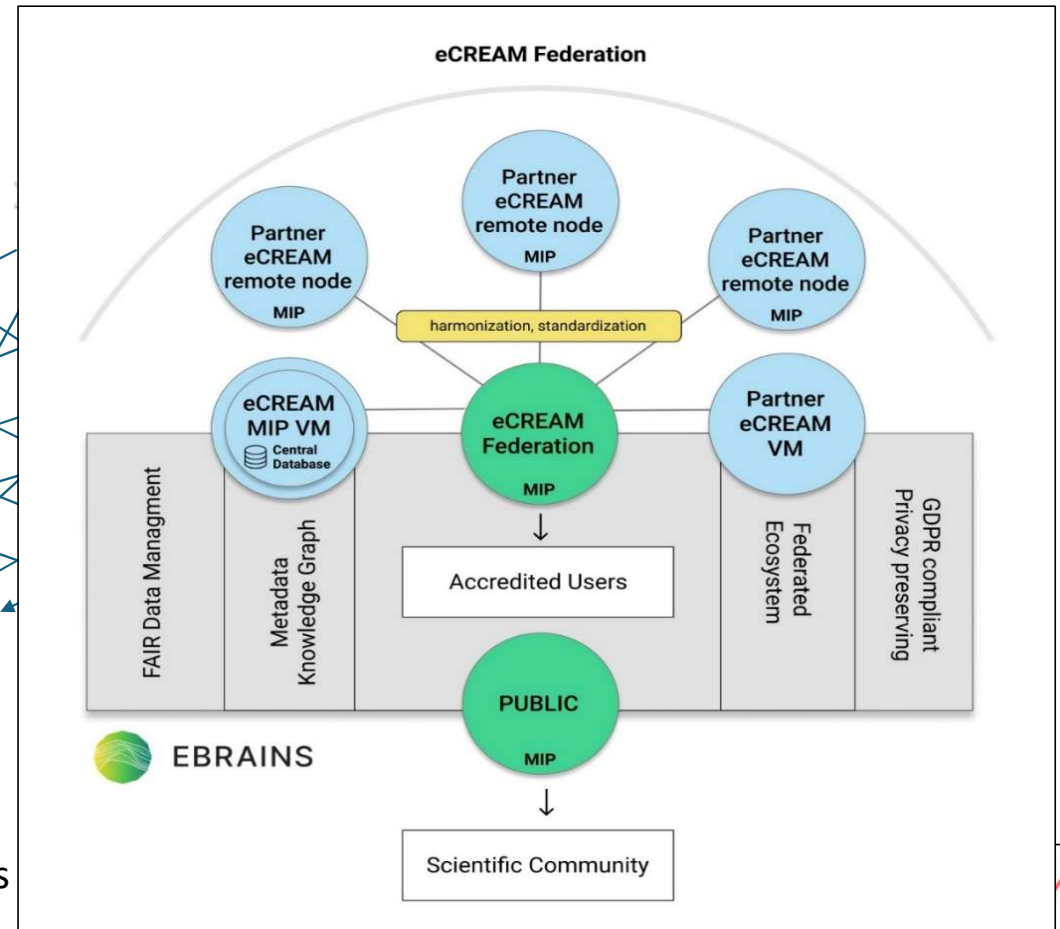
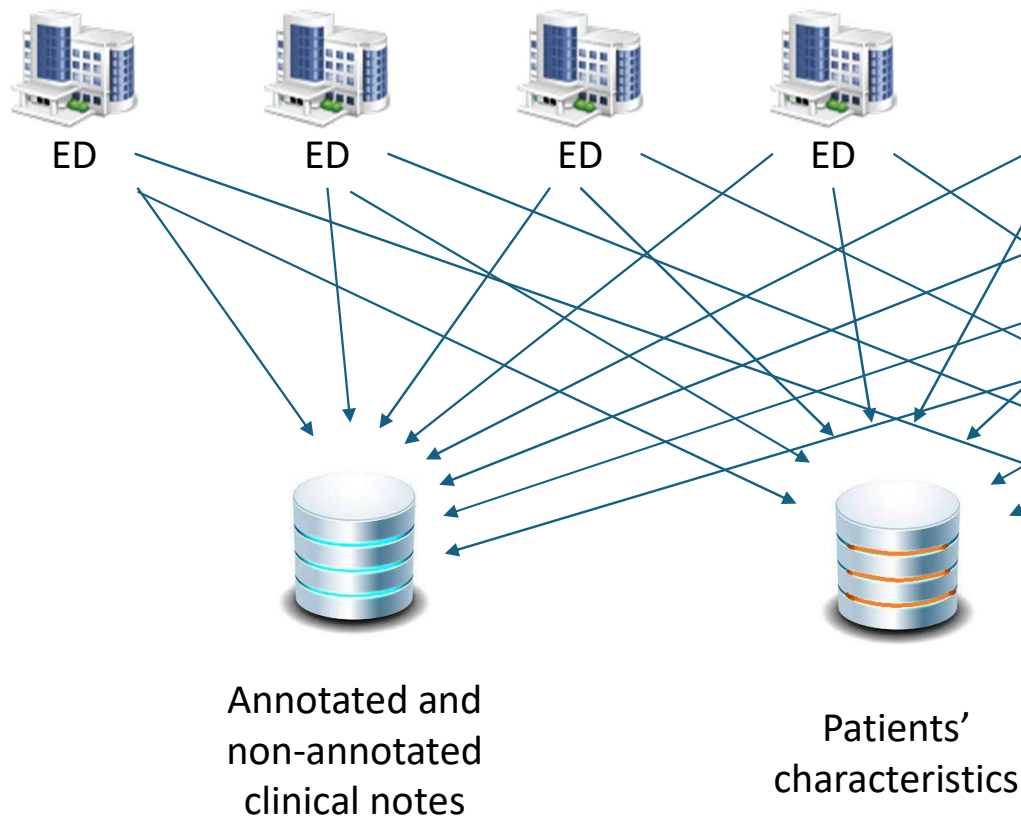
<https://zenodo.org/records/10996542>

Anamnesis

Patient comes to the ER for dyspnea and oliguria. No fever. Patient with known advanced pulmonary neoplasia without further indication for active therapy. Pt asks to inform his **sister**, **Anna Lemena**, at **334556778**;- permanent AF;- arthrosis; HT lasix, metformin, prednisone, lanoxin, arcoxia. Allergy to amoxicillin and FANS. Not vaccinated antiSarsCov2, two prior infections



Pianificazione di una strategia per la FAIRificazione



<https://mip.ebrains.eu/>

Due semplici considerazioni conclusive

- Condivisione e riutilizzo dei dati degli studi clinici: finalmente abbiamo smesso di discutere se farlo, parliamo di come farlo in modo rispettoso e sicuro

(anche se la strada perché diventi lo standard è ancora lunga)

- Riutilizzo dei dati sanitari per scopi di ricerca: «what a time to be alive!»

(prossima implementazione del regolamento sullo spazio europeo dei dati sanitari)

Grazie!

rita.banzi@marionegri.it

