



DOAS

The Diamond Open Access Standard

Aligning
Diamond OA journals & publishers
on quality

Virginia de Pablo
FECYT
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<https://zenodo.org/records/12179619>



- Duration: 2022 - 2025
- Start date: September 2022
- Budget: €3M (Horizon Europe)
- Participants: 23 organizations providing public services for academic publishing from 12 European countries

<https://diamasproject.eu>



WP1: Coordinating the Project. Lead : AMU

WP2: Mapping the European Landscape of IPSPs. Lead: UiT

**WP3: Setting Standards and Assessing Quality Gaps. Lead: FECYT
Develop EQSIP (now DOAS) and a Self-assessment tool**

WP4: Building Capacity Through Knowledge-Sharing. Lead: OPERAS

WP5: Exploring & Supporting the Sustainability of Institutional Publishing. Lead: SPE

WP6: Providing Recommendations for Policies and Strategies. Lead: JISC

WP7: Outreach, Dissemination, Engagement, Exploitation. Lead: LIBER

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DOAS

quality as a public good

- Desk work and co-creation with the community.
- Based on the Extensible Quality Standard in Institutional Publishing (EQSIP v1 and EQSIP v2) from DIAMAS EU funded project.

- An **IPSP Best Practices** report to analyse 71 documents
- **EQSIP v1**: selecting relevant standards & best practices
- A **gap analysis** testing previous results
- **EQSIP v2**
- **Focus groups** from various scholarly disciplines, regions, and languages that provided analysis & feedback
- An **open consultation** to qualified individuals from more than 10 countries
- Contributions from the publishing community enriched it, ensuring active participation in co-creation of **DOAS**

DOAS

quality as a public good

- Based on 7 core components outlined in the Action Plan for Diamond Open Access, revised and refined by the DIAMAS project team.
- Focused on journals.
- A comprehensive approach to maintaining high standards in scholarly publishing.

1. Funding
2. Legal ownership, mission, and governance
3. Open Science
4. Editorial management, editorial quality, and research integrity
5. Technical service efficiency
6. Visibility, communication, marketing, and impact
7. Equity, diversity, inclusion, and belonging (EDIB), multilingualism, and gender equity

How to use DOAS

- **100 ITEMS**
 - **53 REQUIRED** items ensuring fundamental practices.
 - **47 DESIRED** items offering additional recommendations.
- Essential compliance and aspirational goals for Diamond OA scholarly publishers.

- Serves as *the Diamond OA Standard for scholarly publishers*
- Represents a *measure of quality* and a point of reference for comparison
- Helps to ensure *quality and transparency* in governance, processes, and workflows of journals' publishing activities
- Outlines *required and desired* items for compliance
- Emphasises clarity and transparency, prioritising *openness in information dissemination*



How to use DOAS

Self-assessment Tool

The seven core components of the DOAS are structured linearly, each with its own sub-components and defining elements.

These elements (100 in total) make up the **Self-assessment Tool**, which enables users to assess their adherence to the quality standard through compliance-related questions.

ITEM	RESPONSE	STATUS	
1 - No paywalls. The publisher publishes its journals without charging fees to authors for publishing or to readers for reading. (REQUIRED)	<input checked="" type="checkbox"/> YES	Answered	
2 - Transparency on paywalls. The publisher provides explicit information on its website that no fees are charged to either authors to publish or readers to read, as well as if there are any other types of fees involved. (REQUIRED)	<input checked="" type="checkbox"/> YES	Answered	
3 - Financial support. The publisher is directly or indirectly funded by public funds or other revenue streams to enable free access to the author and reader, ideally covering all costs. (REQUIRED)	<input checked="" type="checkbox"/> NO	Answered	<input type="radio"/> Not Applicable <input type="radio"/> Disagree <input type="radio"/> Partly Agree <input type="radio"/> Somewhat Agree <input checked="" type="radio"/> Mostly Agree
4 - Costs. Costs are identified year-on-year. Publishers are able to plan their annual costs and to balance them with expected incomes and in-kind contributions using a tracking system, such as a budget. (DESIRED)	<input checked="" type="checkbox"/> NO	Pending	<input type="radio"/> Not Applicable <input type="radio"/> Disagree <input type="radio"/> Partly Agree <input type="radio"/> Somewhat Agree <input type="radio"/> Mostly Agree
5 - Sustainability plan. The publisher considers the medium-term economic viability of its Diamond OA model. It has a clear overview of available funding sources and other relevant external and internal (in-kind) resources, aligned with set expectations of future maintenance and developmental costs. In achieving its goals, a publisher preferably deploys collaborative strategies and uses common open infrastructures, to cut costs and raise efficiency. (DESIRED)	<input type="checkbox"/>	Pending	

ITEM	RESPONSE	STATUS	
1 - Scholarly community. The publisher of the journal must be owned by a public or not-for-profit organisation (or parts thereof) whose mission includes performing or promoting research and scholarship. (REQUIRED)	<input checked="" type="checkbox"/> NO	Answered	<input type="radio"/> Not Applicable <input type="radio"/> Disagree <input type="radio"/> Partly Agree <input type="radio"/> Somewhat Agree <input checked="" type="radio"/> Mostly Agree
2 - Ownership statement. The publisher has a defined statement about the ownership of the individual journals it publishes. It includes the legal parameters governing the relationship between the publisher and its published journals, the determination of ownership for each title, and the explicit definition of the rights/duties afforded to editors within the publisher in a precise and unambiguous articulation. This also includes details about the discontinuation of the individual journal, and the transfer and preservation of its assets. (REQUIRED)	<input checked="" type="checkbox"/> NO	Answered	<input type="radio"/> Not Applicable <input type="radio"/> Disagree <input checked="" type="radio"/> Partly Agree <input type="radio"/> Somewhat Agree <input type="radio"/> Mostly Agree
3 - Changes in ownership. Changes in the ownership, relationships and rights/duties must be handled with care and transparently by publishers. A change in the service provider (for example, publishing infrastructure) can be achieved without changing the journal title, owner, or publisher. (REQUIRED)	<input type="checkbox"/>	Pending	

DOAS

Deployment

Supported by a
Self-assessment Tool that
include Guidelines and
Toolsuite articles as additional
resources.

- To **self-evaluate** scholarly journals and publishers' adherence to DOAS
- To identify **strengths** and weakness
- Providing **graphic support** and access to resources for improving compliance
- Including **additional resources**



DOAS

Helping to ensure quality in scholarly
communication

Understanding the
Self-assessment Tool

<http://diamas.fecyt.es>

Self-assessment Tool for Diamond OA

Intuitive & efficient tool for
scholarly publishers to manage
and self-evaluate compliance with
the DOAS

https://youtu.be/_RUwV_bcFAI

HOW DO YOU PERFORM YOUR SELF-ASSESSMENT?

REGISTER **1**

To take the self-assessment test you must be registered on the platform. To register, press the top "ENTER" button and then click on "REGISTER NOW" to create your account.



2 LOGIN

To enter, click on the top button "ENTER" and enter your username and password, if you are not registered, click on "REGISTER NOW" and create your account.



CHOOSE MODULE **3**

The platform has two modules to complete the self-assessment test, DOAS and Sustainability. You can complete one or both tests.



AUTOEVALUATE **4**

When you select one of the modules, a quiz will appear. Answer the questions until you complete it. When you're done, click the "FINISH" button to finish the test and send it to your email.



RECEIVE THE REPORT **5**

When you finish the test, you will receive a full report with the results in your email. Remember, you can take it again or complete the next test, for this, you must go back to step 1.



REGISTRATION

Fill out the following form to register for the self-assessment tool. For any issues or questions, please contact us:

diamas@fecyt.es

DATA PROTECTION INFORMATION

Basic Information	
Data Processing Controller	Spanish Foundation for Science and Technology, F.S.P. (FECYT), Calle Pintor Murillo 15, 28100 Alcobendas (Madrid, ES).
Purpose of Data Processing	Address citizens' requests to exercise the rights established by the General Data Protection Regulation (GDPR).
Legitimacy	GDPR: 6.1. a) The data subject gave consent to the processing of their data for one or more specific purposes.
Recipients	Data will not be transferred to third parties unless there is a legal obligation to do so.
Rights	Rights of access, rectification, deletion, limitation of processing, data portability, opposition, and not to be subject to individual automated decisions, including profiling.
Origin	The data subject themselves.
Additional Information	You can consult additional and detailed information by clicking on this link https://pd.fecyt.es/protecciondatos/073-01en.pdf

Name *:

Last names *:

Name of the Institutional Publisher *:

Acronym of the Institutional Publisher *:

Publisher URL *:

Country *:

Institution of the Publisher:


Email *:

Password *:

Repeat password *:

[Return to login](#)

I have read and accept the processing of my personal data as set forth in the Personal Data Protection Information section. *

No soy un robot 

How does the Self-assessment Tool work?

A four-step process:

1. Register on the online platform

2. Complete a structured questionnaire based on the 7 DOAS components

3. Receive a detailed report with scores and recommendations

4. Use additional resources to improve compliance with the DOAS

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FUNDING QUESTIONS 4 of 8		RESPONSE	STATUS
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DOAS SELF-ASSESSMENT REPORT

This document acknowledges that FECYT has completed a self-assessment to check to what extent its publishing services are in line with the Diamond OA Standard (DOAS). Your answers suggest that FECYT is already aligned with 72% of the required standards and desired advanced recommendations outlined in DOAS.

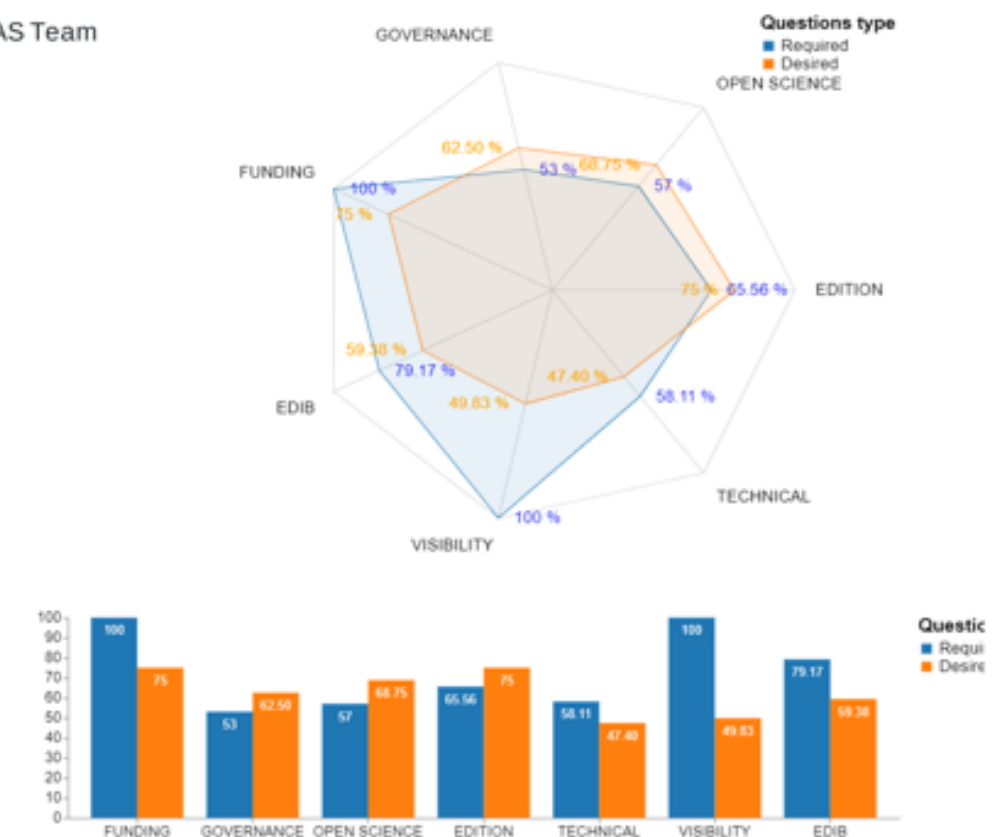
We thank you for your dedication to aligning with the best practices and standards formulated by DIAMAS. We hope that this self-assessment report will provide insights into the standards and practices of your publishing services and identify current good practices and potential improvements. We invite you to revisit the self-assessment tool at any time when you or your colleagues wish to reassess your publishing services.

If you have any questions or feedback, please email us at diamas@fecyt.es

Disclaimer: This self-assessment report is not meant as an evaluation tool to rank publishing services. The tool therefore should not be taken to represent any level of compliance with DOAS, and it should not be taken to imply a ranking, or an implicit or explicit comparison with other publishing services. It is exclusively based on self-assessed information, it is exclusively available to you and based on the information that you have provided, and not based on any independently verified evidence.

23 September 2024

The DIAMAS Team



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A four-step process:

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— INTRODUCTION TO DOAS

Tools and resources

This section contains a variety of tools and resources to support publishers who are considering moving towards Diamond OA publishing

- [Toolsuite Articles](#)
- [Guidelines](#)
- [Self assessment tools](#)
- [Frequently asked questions \(FAQ\)](#)
- [Glossary](#)
- [Useful links](#)

— Read more





THANK YOU

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